

Export LC Amendment User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Export LC Amendment User Guide
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1. Preface

1.1 Introduction

This user manual is designed to help you quickly get acquainted with Export LC Amendment process in Oracle Banking Trade Finance Process Management.

1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.7 Conventions

The following text conventions are used in this document:





Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
	Exit
	Add row
	Delete row
	Option List

2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

3. Export LC Amendment

Export LC Amendment process enables the user to make an amendment to the LC which had been already advised.

The amendments may need consent from the beneficiary of the LC. In such scenarios, after the processing of amendment, the amended LC is parked awaiting beneficiary consent.

In the following sections, let's look at the details for Export LC amendment process.

This section contains the following topics:

3.1 Common Initiation Stage	3.2 Registration
3.4 Data Enrichment	3.5 Exceptions
3.6 Multi Level Approval	3.7 Reject Approval

3.1 Common Initiation Stage

The user can initiate the new Export LC Amendment request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

The screenshot shows the Oracle OBTFPM application interface. The top navigation bar includes the Oracle logo, user information (DEFAULTTENITY (DEFAULT...)), and the current date (April 20, 2022). The left sidebar menu is expanded to show 'Trade Finance' with 'Initiate Task' highlighted. The main content area displays the 'Initiate Task' registration form with the following fields: 'Process Name' (dropdown menu), 'LC Reference Number' (text input with search icon), and 'Branch' (dropdown menu). The 'Proceed' and 'Clear' buttons are visible at the bottom right of the form.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
LC Reference Number	Select the LC Reference Number.
Branch	Select the branch.

3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

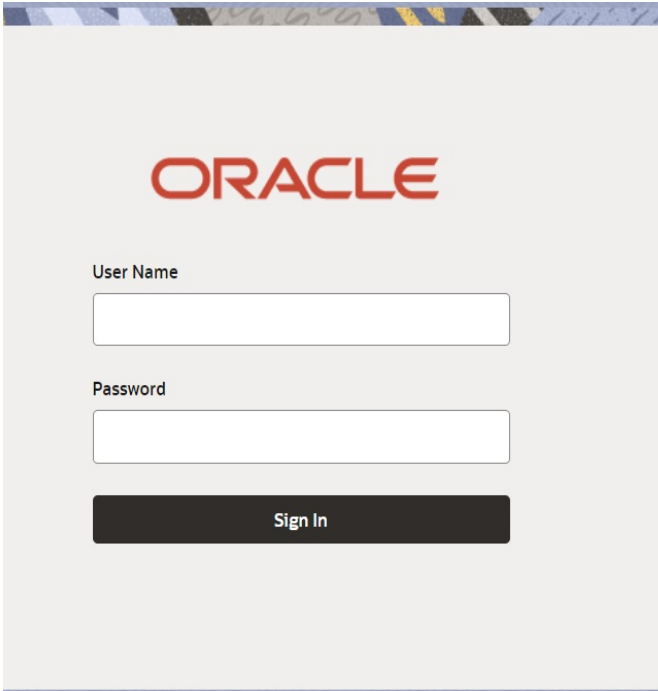
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

3.2 Registration

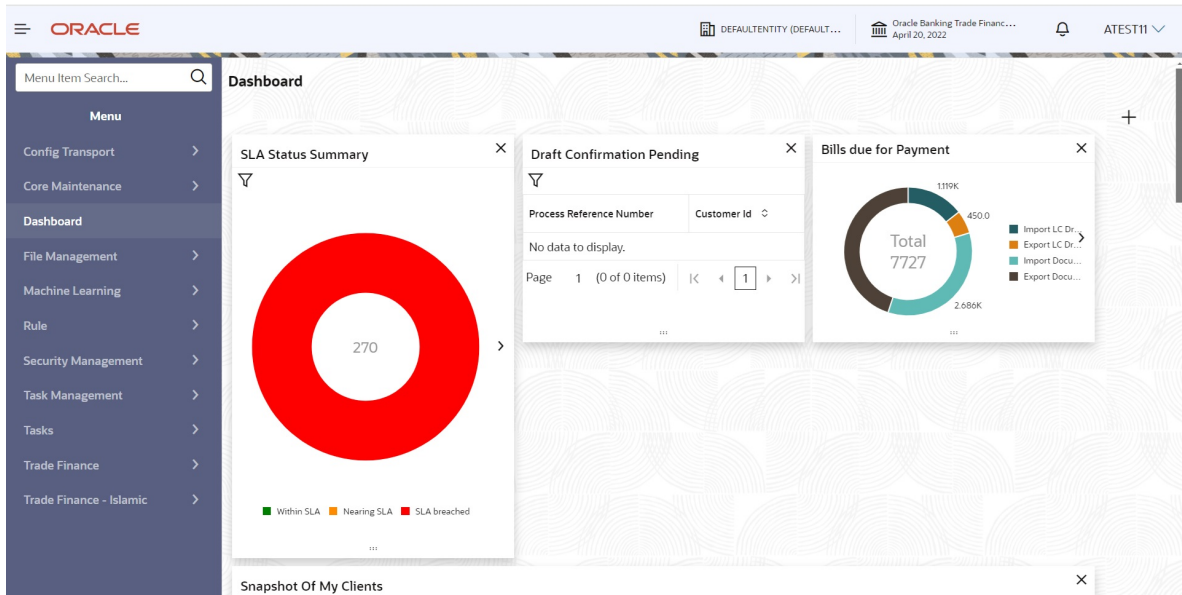
If the Letter Of Credit amendment request is submitted through branch, the Export LC amendment process starts from the Registration Stage.

During Registration stage, user can capture the basic details of the application and upload the related documents of the applicant. On submit of the request, the request will be available for an LC Amendment expert to handle the request in the next stage.

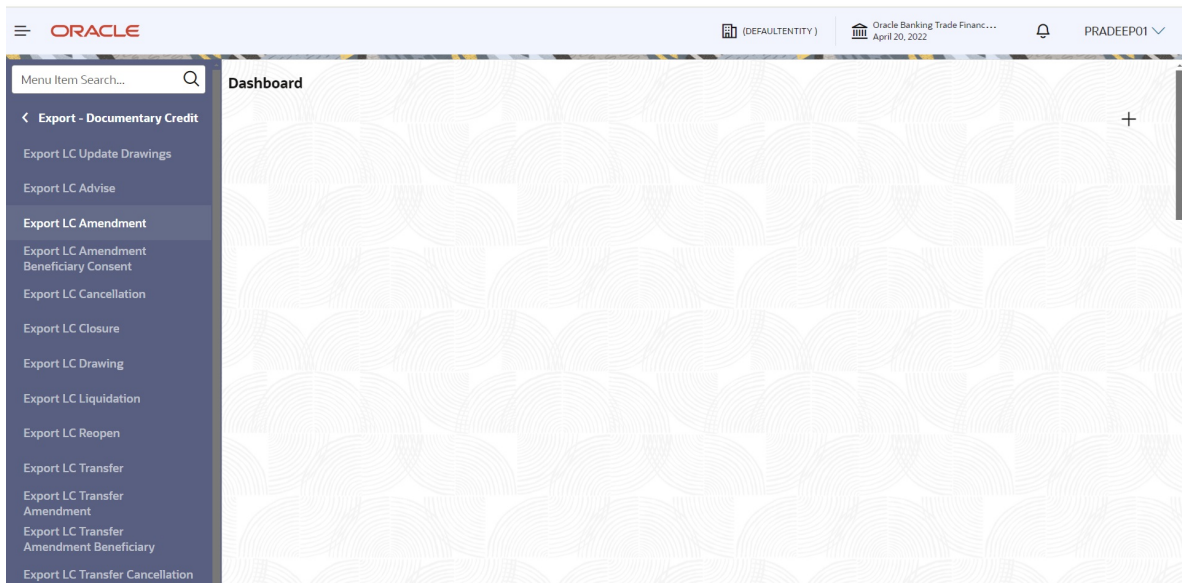
- 1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



- Click **Trade Finance > Export - Documentary Credit > Export LC Amendment.**



The Registration stage has two sections Application Details and LC Details. Let's look at the details of Registration screens below:

3.2.1 Application Details

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Internal Amendment	Enable the option for Internal Amendment Disable the option if Internal Amendment is not required.	
Advising Bank Reference Number	Provide the advising bank reference number. Alternatively, user can search the advising bank reference number using LOV. In the LOV, user can input Export LC Reference Number, Beneficiary, Currency, Amount and User Reference to fetch the Export LC details. Based on the search result, select the applicable Export LC to be amended.	
Beneficiary ID	Read only field. Beneficiary ID and Beneficiary Name will be auto-populated based on the selected Export LC from the LOV.	001344, EMR & CO
Branch	Read only field. Branch details will be auto-populated based on the selected Export LC from the LOV.	203-Bank Futura -Branch FZ1

Field	Description	Sample Values
Amendment No	Read only field. Amendment number will be auto-populated based on selected Export LC. Amendment number increases by 1 for each amendment.	
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority. The options are: <ul style="list-style-type: none"> ● Essential ● Critical ● High ● Medium ● Low 	High
Submission Mode	Select the submission mode of Export LC Amendment request. By default the submission mode will have the value as 'Desk'. User can change the defaulted priority. Desk- Request received through Desk Courier- Request received through Courier SWIFT-Non STP - Request received through SWIFT-Non STP to register the task for the failed STP messages (MT798 and other MT Messages)	Desk
Amendment Date	By default, the application will display branch's current date and enables the user to change the date to any back date. <hr/> Note <hr/> Future date selection is not allowed. This field is disabled if Internal Amendment option is enabled.	04/13/2018
Issuing Bank	Read only field. Issuing Bank details will be auto-populated based on the selected LC from the LOV.	
Non Bank Issuer	Read only field. Non Bank Issuer details will be auto-populated based on the selected Export LC from the LOV.	
Documentary Credit Number	Read only field. This field displays the Documentary Credit Number of the selected LC.	

Field	Description	Sample Values
User Reference Number	Read only field. User Reference Number will be auto populated by the system based on the selected Export LC.	
Beneficiary Consent	Toggle On: beneficiary consent required. Toggle Off: beneficiary consent not required. This field is disabled if Internal Amendment option is enabled.	

3.2.2 LC Details

Details in this screen displays the data from the LC issued.

The screenshot shows the 'LC Details' form with the following data:

- LC Type:** Slight
- Product Code:** ELAT
- Product Description:** Export LC - advising ATB
- Advising Bank:** 001185 RBS PLC
- 40A - Form of Documentary Credit:** IRREVOCABLE
- Contract Reference Number:** PK2ELAT221106018
- 31C - Date of Issue:** April 20, 2022
- 40E - Applicable Rules:** UCPURR LATEST VERSION
- Date of Expiry:** December 28, 2022
- 31D - Place of Expiry:** LONDON
- 51A - Applicant Bank:** 001186 CITIBANK I
- Applicant:** 001043 MARKS AN
- Beneficiary:** 001044 GOODCAR
- 32B - Currency Code, Amount:** GBP £100.00
- Amount in Local Currency:** GBP £100.00
- 39A - Percentage Credit Amount Tolerance:** [Empty]
- Limit verification required:** [Off]
- 39C - Additional Amount Covered:** [Empty]
- Closure Date:** January 27, 2023

Provide the LC Amendment Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. LC type will be populated based on the selected Export LC.	
Product Code	Read only field. This field displays the product code of the selected Export LC.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Advising Bank	Read only field. This field displays the advising bank details of the selected Export LC.	

Field	Description	Sample Values
40A - Form of Documentary Credit	<p>This field displays the form of documentary credit details of the selected Export LC.</p> <p>The user can change the value.</p> <p>The options are:</p> <ul style="list-style-type: none"> ● IRREVOCABLE ● IRREVOCABLE TRANSFERRABLE <p>This field is disabled if Internal Amendment option is enabled.</p>	
Contract Reference Number	<p>Read only field.</p> <p>Contract Reference Number will be populated based on the selected Export LC.</p>	
Date of Issue	<p>Read only field.</p> <p>This field displays the LC issuance date.</p>	
Applicable Rules	<p>This field displays the rules of the selected Export LC.</p> <p>The user can change the value.</p> <p>This field is disabled if Internal Amendment option is enabled.</p>	
Date Of Expiry	<p>This field displays the expiry date of the selected Export LC.</p> <p>The user can change the value.</p> <p>This field is disabled if Internal Amendment option is enabled.</p> <hr/> <p>Note</p> <p>If amendment to the Expiry date (advancing the expiry date before expiry date of the underlying Import LC), system validates the amended value against the Back-to-Back LC value and display configurable override.</p> <hr/>	
Place of Expiry	<p>This field displays the place of expiry of the selected Export LC.</p> <p>The user can change the value.</p> <p>This field is disabled if Internal Amendment option is enabled.</p>	
Applicant Bank	<p>Read only field.</p> <p>This field displays the applicant bank details of the selected Export LC.</p>	

Field	Description	Sample Values
Applicant	<p>Read only field.</p> <p>This field displays the details of the applicant of the selected Export LC.</p>	
Beneficiary	<p>Read only field.</p> <p>This field displays the details of the beneficiary of the selected Export LC.</p>	
Currency Code, Amount	<p>This field displays the value of Export LC along with the currency details of the selected Export LC and user can amend if required.</p> <hr/> <p>Note</p> <p>If amendment to the Amount (reduction in amount), field in the Export LC, system validates the amended value against the Back-to-Back LC value and display configurable override.</p> <hr/> <p>The user can change the value.</p> <p>This field is disabled if Internal Amendment option is enabled.</p>	
Amount In Local Currency	<p>Read only field.</p> <p>System fetches the local currency equivalent value for the LC amount from back office (with decimal places).</p>	
Percentage Credit Amount Tolerance	<p>This field displays the percentage credit amount tolerance details of the selected Export LC and user can amend if required.</p> <p>This field is disabled if Internal Amendment option is enabled.</p>	
Additional Amount Covered	<p>This field displays the details of additional amount covered of the selected Export LC and user can amend if required.</p> <p>This field is disabled if Internal Amendment option is enabled.</p>	

Field	Description	Sample Values
Closure Date	<p>System default the “Closure Date” with the value “Expiry Date” + No of Closure days maintained in the respective Product in which the contract has been created.</p> <p>System automatically close the contract on the specified “Closure Date” if “Auto Close” is selected as “Yes” for the specific contract.</p> <p>User can modify the system defaulted “Closure Date” and system should validate the same for the below conditions,</p> <ul style="list-style-type: none"> ● Closure Date must be after the Issue Date. ● Closure Date must be after the Expiry Date. ● Closure Date cannot be blank, when the “Auto Close” is checked. <p>This field is disabled if Internal Amendment option is enabled.</p>	

3.2.3 Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the amendment request. This information can be viewed by other users processing the request.	

Field	Description	Sample Values
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
View LC Events	Click to view the all the LC events.	
Action Buttons		
Submit	<p>On submit, task will get moved to next logical stage of Export LC Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and displays the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Export LC Amendment Registration stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

Note

When an amendment to an Export LC which is linked to a Back to Back LC (Import LC) is initiated, system should display a message, the LC is linked to an Import LC.

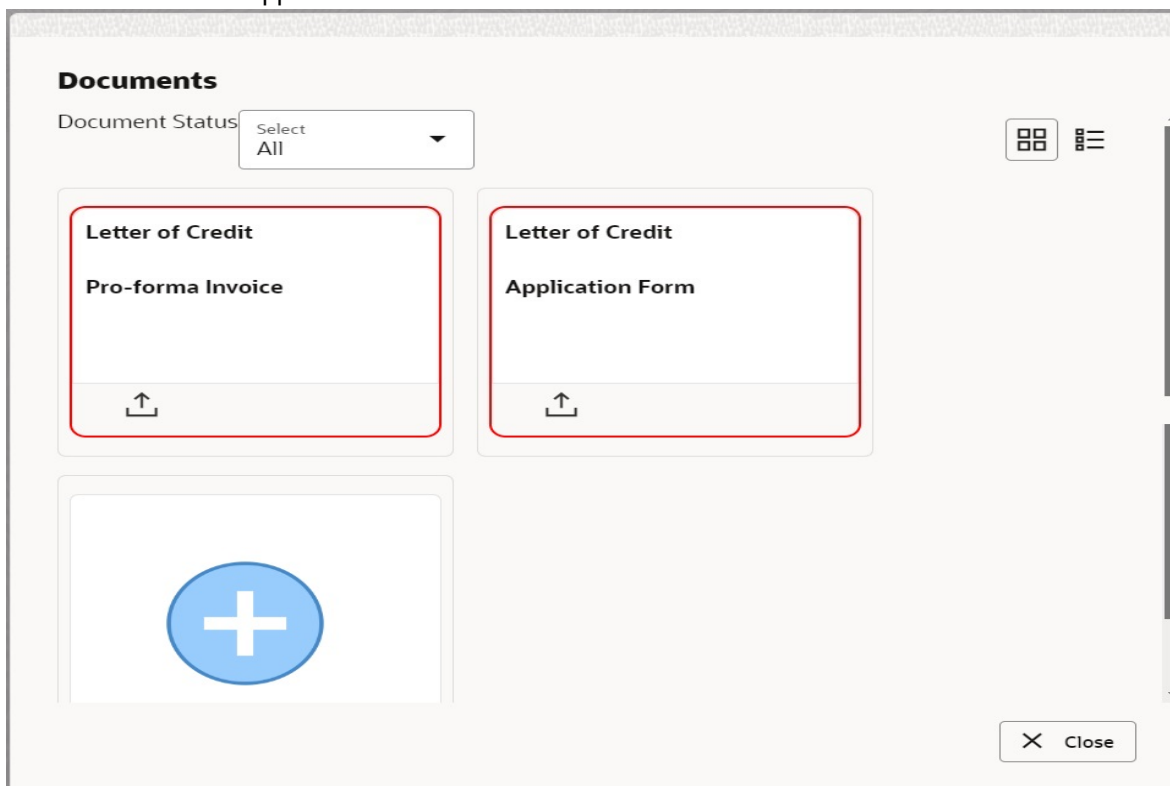
3.2.4 Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.
2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

Link Document

Customer Id

Document Type

Document Id

Document Code

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
No data to display.						

Page 1 (0 of 0 items) | < 1 >

- Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	

Field	Description	Sample Values
Upload Date	The field displays the upload date of the document.	
Reference Number	The field displays the reference number of the document.	

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id

Document Type

Document Id

Document Code

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
Link	616	001044	fdff	INSURANCE	December 6, 2023	PK2ELCU000003399
Link	116	001044		INSURANCE	November 3, 2023	PK2ILCD000001238
Link	144	001044		INSURANCE	November 6, 2023	PK2IILD000001312
Link	162	001044		INSURANCE	November 9, 2023	PK2ELCC000001424
Link	684	001044	cvcvc	INSURANCE	December 11, 2023	PK2IELD000004034

Page of 6 (1-5 of 29 items) |

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

Edit Document

Document Id	Document Title
2464	ddf
Application Reference Number	Entity Reference Number
PK2ILCI000053389	PK2ILCI000053389
Document Type Id	Document Description
TFPM_DOCTYPE001	dfdfdf
Remarks	Document Expiry Date
ffdfd	

Drop files here or click to select

Current selected files:ddf.pdf | 2464

Update Cancel

3.3 Scrutiny

On successful completion of Registration of an Export LC Amendment request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.

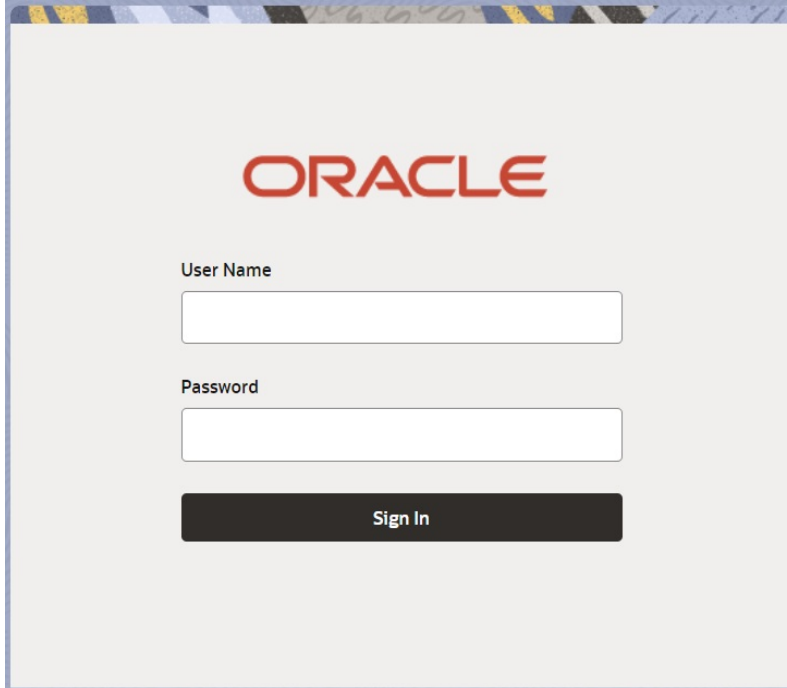
Non-Online Channel - Export LC Amendment request that were received at the desk will move to Scrutiny stage post successful Registration. The requests will have the details entered during the Registration stage.

Online Channel - Requests that are received via like SWIFT are available directly for further processing from Scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated. When customer initiates an Export LC amendment response through SWIFT (Corporate to SWIFT) channel, the incoming MT798 message Accept or Reject Export LC Amendment.

If the incoming message is MT 707, requests that are received via online channels like trade portal, external system and SWIFT should be available directly for further processing in OBTFPM from Scrutiny stage.

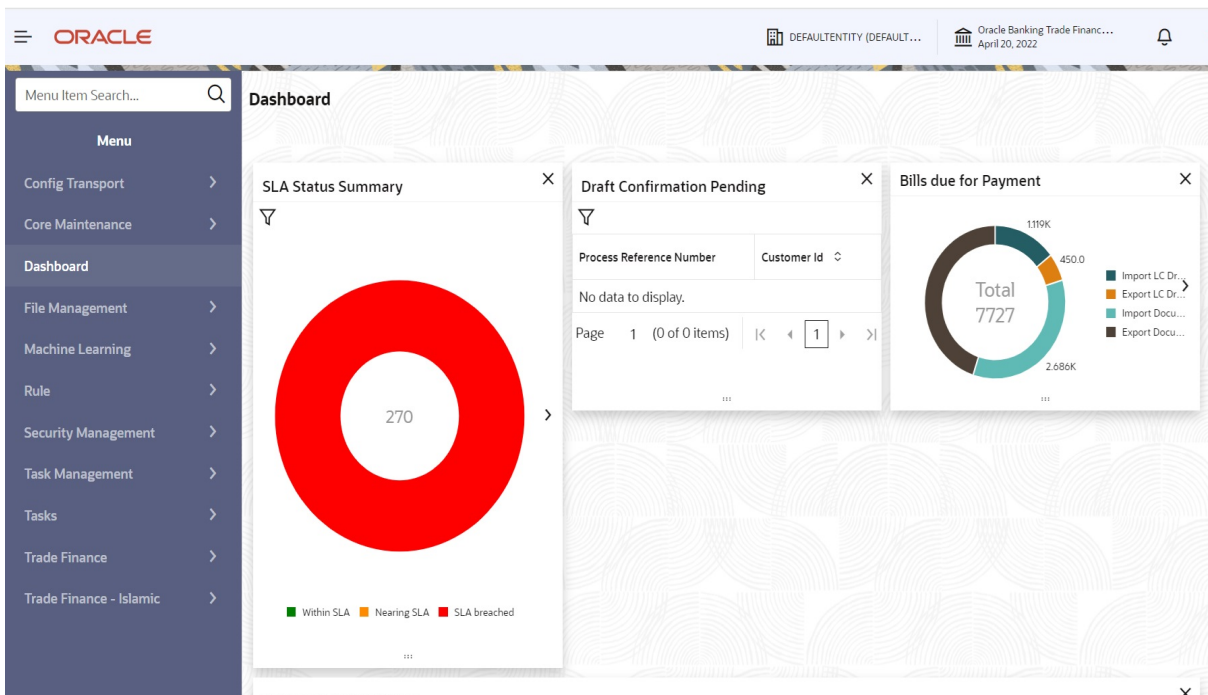
Do the following steps to acquire a task currently at Scrutiny stage:

1. Use the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



The image shows the Oracle login interface. At the top center is the Oracle logo in red. Below it are two input fields: "User Name" and "Password". At the bottom center is a black button with the text "Sign In" in white.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The screenshot shows the Oracle dashboard. The top navigation bar includes the Oracle logo, user information "DEFAULTENTITY (DEFAULT...", and the date "April 20, 2022". A left sidebar menu contains categories like "Menu", "Dashboard", "File Management", "Machine Learning", "Rule", "Security Management", "Task Management", "Tasks", "Trade Finance", and "Trade Finance - Islamic". The main dashboard area features three widgets: "SLA Status Summary" with a red donut chart showing 270 items; "Draft Confirmation Pending" with a table showing "No data to display"; and "Bills due for Payment" with a donut chart showing a total of 7727 and various categories like "Import LC Dr." and "Export LC Dr."

3. Click **Trade Finance> Tasks> Free Tasks**.

Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
Medium	Export LC Amendment	PK2ELCA000053690	PK2ELCA000053690	Scrutiny	22-04-20
Medium	Import Documentary ...	PK2IDCL000053693	PK2IDCL000053693	DataEnrichment	22-04-20
Medium	Guarantee Amendment	PK2GTEA000053671	PK2GTEA000053671	Reject Approval	22-04-20
Medium	Guarantee Advise	PK2GTEA000053667	PK2GTEA000053667	Sanction Check Exceptiona...	22-04-20
Medium	Export LC Drawing	PK2ELCD000053665	PK2ELCD000053665	DataEnrichment	22-04-20
Medium	Shipping Guarantee Is...	PK25GTI000053646	PK25GTI000053646	Sanction Check Exceptiona...	
Essential	Shipping Guarantee Is...	PK25GTI000053649	PK25GTI000053649	DataEnrichment	22-04-20
Essential	Import LC Issuance	PK2ILCI000053648	PK2ILCI000053648	Scrutiny	22-04-20
Essential	Import LC Issuance	PK2ILCI000053645	PK2ILCI000053645	Scrutiny	22-04-20
Medium	Guarantee Amendment	PK2GTEA000053638	PK2GTEA000053638	DataEnrichment	22-04-20
Medium	Import Documentary ...	PK2IDCR000053637	PK2IDCR000053637	DataEnrichment	22-04-20
Medium	Export Documentary ...	PK2EDCU000053635	PK2EDCU000053635	DataEnrichment	22-04-20
Medium	Export LC Cancellation	PK2ELCC000053626	PK2ELCC000053626	Reject Approval	22-04-20

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
Medium	Export LC Amendment	PK2ELCA000053690	PK2ELCA000053690	Scrutiny	22-04-20
Medium	Import Documentary ...	PK2IDCL000053693	PK2IDCL000053693	DataEnrichment	22-04-20
Medium	Guarantee Amendment	PK2GTEA000053671	PK2GTEA000053671	Reject Approval	22-04-20
Medium	Guarantee Advise	PK2GTEA000053667	PK2GTEA000053667	Sanction Check Exceptiona...	22-04-20
Medium	Export LC Drawing	PK2ELCD000053665	PK2ELCD000053665	DataEnrichment	22-04-20
Medium	Shipping Guarantee Is...	PK25GTI000053646	PK25GTI000053646	Sanction Check Exceptiona...	
Essential	Shipping Guarantee Is...	PK25GTI000053649	PK25GTI000053649	DataEnrichment	22-04-20
Essential	Import LC Issuance	PK2ILCI000053648	PK2ILCI000053648	Scrutiny	22-04-20
Essential	Import LC Issuance	PK2ILCI000053645	PK2ILCI000053645	Scrutiny	22-04-20
Medium	Guarantee Amendment	PK2GTEA000053638	PK2GTEA000053638	DataEnrichment	22-04-20
Medium	Import Documentary ...	PK2IDCR000053637	PK2IDCR000053637	DataEnrichment	22-04-20
Medium	Export Documentary ...	PK2EDCU000053635	PK2EDCU000053635	DataEnrichment	22-04-20
Medium	Export LC Cancellation	PK2ELCC000053626	PK2ELCC000053626	Reject Approval	22-04-20

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
Medium	Export LC Amendment	PK2ELCA000053690	PK2ELCA000053690	Scrutiny	22-04-20
High	Import LC Issuance	PK2ILCI000053389	PK2ILCI000053389	Registration	22-04-20
High	Import LC issuance	PK2ILCI000053356	PK2ILCI000053356	Handoff RetryTask	22-04-20
Medium	Export LC Transfer A...	PK2ELCT000052761	PK2ELCT000052761	DataEnrichment	22-04-20
Medium	Islamic Export LC Tran...	PK2IETR000052942	PK2IETR000052942	DataEnrichment	22-04-20
Medium	Islamic Export LC Tran...	PK2IETR000052668	PK2IETR000052668	DataEnrichment	22-04-20
Medium	Islamic Import LC Liqu...	PK2IILL000051332	PK2IILL000051332	Approval Task Level 1	22-04-20
	Import LC Liquidation	PK2ILCL000051282	PK2ILCL000051282	Registration	22-04-20
	Import LC Liquidation	PK2ILCL000051281	PK2ILCL000051281	Registration	22-04-20
Medium	Import LC Liquidation	PK2ILCL000051256	PK2ILCL000051256	DataEnrichment	22-04-20
	Import LC Liquidation	PK2ILCL000051120	PK2ILCL000051120	Registration	22-04-20
Medium	Buyers Credit Update	PK2BYCR000051084	PK2BYCR000051084	DataEnrichment	22-04-20
Medium	Repayment of Buyers ...	PK2BYCR000010178	PK2BYCR000010178	Liquidation	22-04-20

The Scrutiny stage has six sections as follows:

- Main Details
- Availability & Shipment
- Payment Details
- Amendment Details
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following sections. Some of the fields that are already having value from Registration/online channels may not be editable.

3.3.1 Main Details

Main details section has three sub section as follows:

- Application Details
- LC Details

3.3.1.1 Application Details

All fields displayed under Application details section, would be read only except for the **Priority** and **Beneficiary Consent**. Refer to [3.2.1 Application Details](#) for more information of the fields.

3.3.1.2 LC Details

The fields listed under this section are same as the fields listed under the [3.2.2 LC Details](#) section in [3.2 Registration](#). Refer to [3.2.2 LC Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Following are the fields which can be amended apart from the fields carried over from [3.2.2 LC Details](#) of [3.2 Registration](#). Provide the details for the amendable fields based on the description in the following table:

Field	Description	Sample Values
40A - Form of Documentary Credit	This field displays the form of documentary credit details of the selected Export LC. The user can change the value. The options are: <ul style="list-style-type: none"> IRREVOCABLE IRREVOCABLE TRANSFERRABLE This field is disabled if Internal Amendment option is enabled.	
Applicable Rules	This field displays the rules of the selected Export LC and user can amend if required.	
Date of Expiry	This field displays the expiry date of the selected Export LC and user can amend if required.	
Place of Expiry	This field displays the place of expiry of the selected Export LC and user can amend if required.	
Currency Code/Amount	This field displays the value of LC along with the currency details of the selected Export LC.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details of the selected Export LC.	

Field	Description	Sample Values
Purpose of message	<p>Advice and Confirmation of the Credit Amendment - Select this option, if LC requires confirmation on the amendment.</p> <p>Advice of the Credit Amendment - Select this option, if LC does not require confirmation on the amendment.</p>	
Additional Amounts Covered	This field displays the details of additional amount covered of the selected LC and user can amend if required.	
Auto Close	<p>Enable the option for auto close.</p> <p>This field is disabled if Internal Amendment option is enabled.</p>	
Closure Date	<p>System default the "Closure Date" with the value "Expiry Date" + No of Closure days maintained in the respective Product in which the contract has been created.</p> <p>System automatically close the contract on the specified "Closure Date" if "Auto Close" is selected as "Yes" for the specific contract.</p> <p>User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions,</p> <ul style="list-style-type: none"> • Closure Date must be after the Issue Date. • Closure Date must be after the Expiry Date. • Closure Date cannot be blank, when the "Auto Close" is checked. 	

3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for amendment. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Messages	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>Clicking this button allows parsing and processing MT 707 along with the MT708 messages.</p>	
View LC	<p>Enables the user to view the latest LC values displayed in the respective fields.</p>	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Request Clarification	<p>User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the Scrutiny stage inputs.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.2 Availability Shipment

User must verify/ Input/Update Availability, Shipment and Goods details of an Export LC request for the different fields under the respective data segments.

3.3.2.1 Availability Details

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Available With	<p>This field identifies the bank with which the credit is available.</p> <p>User must capture the bank details or any free text.</p> <ul style="list-style-type: none"> If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name. <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted.</p> <ul style="list-style-type: none"> If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.). 	

Field	Description	Sample Values
Available By	<p>This field displays the value of 'Available By' as per the issued LC. Choose one of the following values from drop down, if required.</p> <ul style="list-style-type: none"> • BY ACCEPTANCE • BY DEF PAYMENT • BY MIXED PAYMENT • BY NEGOTIATION • BY PAYMENT <p>Validation:</p> <p>1) If By Mixed Payment option is selected, there must be a value in tag 42M- Mixed payment</p> <p>2) If By deferred payment is selected, there must be a value in tag 42P- Deferred payment</p> <p>3) If By payment is selected, payment at sight is applicable. It must be applicable for Sight Type of product only.</p>	
Drafts At	<p>This field displays the details of tenor of drafts to be drawn under the documentary credit as per the issued LC.</p> <p>The user can specify the value.</p>	
Drawee	<p>This field displays the Drawee value as per the issued LC.</p> <p>This field will have value only if 'Drafts at' field has values.</p> <p>Select the Drawee bank (Advising bank or Confirming bank).</p> <ul style="list-style-type: none"> • Search the bank with SWIFT code (BIC) or Bank Name. <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <hr/> <p style="text-align: center;">Note</p> <p style="text-align: center;">This field is enabled if value is provided at Drafts At field.</p> <hr/>	
Payment Details	This field displays the payment details.	

3.3.2.2 Shipment Details

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	<p>This field displays the value of Partial Shipments as per the LC and can be modified if required.</p> <p>This field specifies whether or not partial shipments are allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none">• ALLOWED• CONDITIONAL• NOT ALLOWED	
Transshipment	<p>This field displays the value of Transshipments as per the LC and can be modified if required.</p> <p>This field specifies whether or not transshipment is allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none">• ALLOWED• CONDITIONAL• NOT ALLOWED	
Place Of Taking In Charge	<p>This field displays the value of place of taking in charge as per the LC and can be modified if required.</p> <p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p> <hr/> <p>Note</p> <p>This field is alternate to Port Of Loading. Any of these fields must have value and if both the fields has values, application will display an error message.</p> <hr/>	

Field	Description	Sample Values
Port Of Loading	<p>This field displays the value of port of loading as per the LC and can be modified if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <hr/> <p>Note</p> <p>This field is alternate to Place Of Taking In Charge. Any of these fields must have value and if both the fields has values, application will display an error message.</p> <hr/>	
Port Of Discharge	<p>This field displays the value of port of discharge as per the LC and can be modified if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <hr/> <p>Note</p> <p>This field is alternate to Place Of Final Destination. Any of these fields must have value and if both the fields has values, application will display an error message.</p> <hr/>	
Place Of Final Destination	<p>This field displays the value of Place of Final Destination as per the LC and can be modified if required.</p> <p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p> <hr/> <p>Note</p> <p>This field is alternate to Port Of Discharge. Any of these fields must have value and if both the fields has values, application will display an error message.</p> <hr/>	

Field	Description	Sample Values
Latest Date Of Shipment	<p>This field displays the value of Latest Date of Shipment as per the LC and can be modified if required.</p> <p>This field is alternate to Shipment Period. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p> <hr/> <p>Note</p> <p>If amendment to the Shipment date (advancing the Latest Shipment Date before the Latest Shipment date of the underlying Import LC), system validates the amended value against the Back-to-Back LC value and display configurable override.</p>	
Shipment Period	<p>This field displays the value of shipment period as per the LC and can be modified if required.</p> <hr/> <p>Note</p> <p>This field is alternate to Latest Date Of Shipment.</p> <hr/> <p>Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	

3.3.2.3 Description Of Goods And Or Services

This field contains a description of the goods and/or services of the issued LC and can be amended if required. Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Select the appropriate INCO terms.	
INCO Term Description	The description of the INCO Term.	
+ Icon	Click + icon to add goods details.	
Goods Code	Click look up icon to select the goods code.	
Goods Type	The goods type is auto populated depending on selected goods code.	
Goods Description	The goods description is auto populated depending on selected goods code.	

Field	Description	Sample Values
No of Units	Specify the number of units being imported or exported.	
Price per Unit	Specify the value for price per unit.	
Total Amount	System to calculate the total price In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/Collection).	
Action	Click Edit icon to edit the goods detail. Click Delete icon to delete the goods detail.	

3.3.2.4 **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	
Documents	Upload the required documents. Application displays mandatory documents to be uploaded for amendment. Place holders are also available to upload additional documents submitted by the applicant. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Messages	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>Clicking this button allows parsing and processing MT 707 along with the MT708 messages.</p>	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the Export LC amendment Scrutiny stage inputs.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Back	<p>Task moves to the previous screen.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

3.3.3 Payment Details

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Special Payment conditions for beneficiary	This field displays the value of Spl Paymt Condn - Beneficiary as per the LC and can be modified if required. If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.	
Special Payment conditions for receiving bank	This field displays the value of Spl Paymt Condn - Rec Bank as per the LC and can be modified if required. If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/conditions for receiving bank only.	
Period for Presentation	This field displays the value of Period for Presentation as per the LC and can be modified if required. If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.	

Field	Description	Sample Values
Confirmation Instructions	<p>This field displays the value of Confirmation Instructions as per the LC and can be modified if required.</p> <p>Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT.</p> <p>Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system must display the following:</p> <ul style="list-style-type: none"> a) SWIFT code (if available), b) Name and address of the bank <p>On selection of the record, if SWIFT code is available then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Partial Confirmation Allowed	<p>Read only field.</p> <p>Toggle On: Toggle 'On' indicates partial confirmation is allowed.</p> <p>Toggle Off: Toggle 'Off' indicates partial confirmation is disabled.</p>	
Silent Confirmation	<p>This option when enabled:</p> <ul style="list-style-type: none"> • Confirmation Percentage should default as 100 and Silent Confirmation Amount should display the full LC outstanding value. User should not be able to modify them. • All other confirmation fields to be blank and disabled. <p>This field is added only for LC in which Issuing Bank does not request confirmation,</p> <p>This field is enabled, if Confirmation Instruction field has value Without or May Add.</p>	

Field	Description	Sample Values
Confirmation%	<p>Provide the confirmation percentage.</p> <p>If Internal Amend set yes, user can provide confirmation percent to add confirmation to LC.</p> <p>If Internal Amend set No, this field will be display only.</p> <hr/> <p>Note</p> <p>This field is applicable only if Confirmation Instructions is set to Confirm or May Add and and confirming Bank is "Advising Bank."</p> <hr/> <p>Note</p> <p>This field is alternate to 'Confirmation Amount'.</p>	
Confirmation Amount	<p>Provide the confirmation percentage.</p> <p>If Partial Confirmation Allowed field is enabled and 'Confirmation %' is below 100, system allows to modify "Confirmation %" and "Confirmation Amount".</p> <p>If Internal Amend set yes, user can provide confirmation percent to add confirmation to LC.</p> <p>If Internal Amend set No, this field will be display only.</p> <hr/> <p>Note</p> <p>This field is applicable only if Confirmation Instructions is set to Confirm or May Add and and confirming Bank is "Advising Bank"</p>	
Requested Confirmation Party Type	<p>Select the requested confirmation party type from the drop-down.</p> <p>The options are:</p> <ul style="list-style-type: none"> ● Advising Bank - ● Advise Through Bank ● Others <p>This field is enabled if the Confirmation Instructions is CONFIRM or MAY ADD.</p>	

Field	Description	Sample Values
Requested Confirmation Party	<p>This field displays the value of Requested Confirmation Party as per the issued LC and can be modified if required.</p> <hr/> <p>Note</p> <p>This field is applicable only for LC Type - Confirmed LC.</p> <hr/>	
Confirmation Expiry Date	<p>Read only field.</p> <p>This field indicates the date up to which the Confirmation is valid.</p> <p>This field displays the Confirmation Expiry Date as available for a LC.</p> <p>When the underlying Confirmed LC is amended to have a new Expiry Date, the value will be populated in OBTFPM also. If new expiry date is to be confirmed, user can update the Confirmation Expiry Date to the new Expiry Date. If the new expiry date is not to be confirmed, user should not update the New Confirmation Expiry Date. System should validate that the value is only either the original expiry date or the new expiry date.</p> <p>In case Confirmation Expiry Date is to be extended, then on hand-off the related flag should be set to yes in back office system. In case Confirmation Expiry Date is not to be extended, then on hand off, related flag should be set to No in back office.</p>	
Extend Confirmation	<p>This field displays whether the Confirmation is extended for amended LC.</p> <p>“Extend Confirmation” field value is editable only during Export LC Amendment process, where Internal Amendment toggle selected as “No”.</p> <p>Based on the selection of “Extend confirmation”, system updates the Confirmation Percentage, Confirmation Amount, and Confirmation Expiry Date.</p> <p>This field appears if Internal Amendment toggle is disabled.</p>	

Field	Description	Sample Values
Reimbursing Bank	<p>This field displays the value of Reimbursing Bank as per the LC and can be modified if required.</p> <p>Search through LOV. Party type with banks will be displayed in LOV.</p> <ul style="list-style-type: none"> ● SWIFT code (if available), ● Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Advise Through Bank	<p>Online Channel – User can update the details received.</p> <p>Non-Online Channel -</p> <p>Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> ● SWIFT code (if available) ● Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <hr/> <p style="text-align: center;">Note</p> <p style="text-align: center;">In case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p> <hr/> <p>In case of SWIFT MT707 message, this field is empty.</p>	
Instructions to P/A/N Bank	<p>This field displays the value of Instructions to P/A/N Bank as per the LC and can be modified if required.</p>	
Sender to Receiver Information	<p>This field displays the value of Sender to Receiver Information (FFT Details) as per the LC and can be modified, if required.</p>	
Charges	<p>This field displays the charges and can be modified, if required.</p>	
Amendment charges payable by	<p>Select the party to pay the amendment charges:</p> <ul style="list-style-type: none"> ● Applicant ● Beneficiary ● Others 	

Field	Description	Sample Values
Instructions to Intermediary Bank	Specify or click search and select the instructions to intermediary bank.	

Information to Issuing Bank

Provide MT730 - Information to Issuing Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	This field displays the additional information to receiver and can be modified, if required.	
Narrative	This field displays the additional information from the advising bank to the issuing bank and can be modified, if required.	
Issuing Bank Account Number	This field displays the issuing bank account number from the LOV and can be modified, if required.	
Charges to be Claimed	This field displays the charges to be claimed and can be modified, if required.	
Charges	This field displays the charge details for advising and can be modified, if required.	
Issuing Bank Date	This field displays the issuing bank date and can be modified, if required.	
Account with Bank	This field displays the account to which the charges needs to be paid and can be modified, if required.	

Information to Advise Through Bank

Provide MT710 - Information to Advise Through Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	This field displays the additional information to receiver and can be modified, if required.	

3.3.3.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for amendment. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Messages	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>Clicking this button allows parsing and processing MT 707 along with the MT708 messages.</p>	
View LC	<p>Enables the user to view the latest LC values displayed in the respective fields.</p>	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Back	Task moves to the previous screen.	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.4 Amendment Details

This section lists the amendments made to the LC. The amendment details table displays the original value and the amended values of the amended fields in two different columns to enable Scrutiny user to identify the modifications made to the LC.

Export LC Amendment Data Enrichment :: Application
No:- PK2ELCA000053706

Clarification Details Documents Remarks Overrides Customer Instruction
Common Group Messages Incoming Message View LC Signatures

Screen(5/10)

Amendment Details

LC Amendment Details

Field Name	Amended Value	Value as per LC
collateralAmount		2200

Party Details

No data to display.

Goods Details

No data to display.

Document Details

No data to display.

Additional Conditions

FFT Code	FFT Description	Status
77NARRATIVE	77 Narrative for MT747	New

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Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

3.3.5 Additional Details

Export LC Amendment DataEnrichment :: Application
 No:- PK2ELCA000053706

Clarification Details Documents Remarks Overrides Customer Instruction
 Common Group Messages Incoming Message View LC Signatures

Main Details
 Availability Shipment
 Documents and Condit...
 Payment Details
 Amendment Details
 Additional Fields
 Advices
Additional Details
 Settlement Details
 Summary

Additional Details

Limits and Collaterals
 Contribution :
 Currency : Contribution Amount
 :
 Limit Status :
 Collateral Currency : GBP
 Collateral Contr. :
 Collateral Status :

Commission, Charges and taxes
 Charge : GBP 175.00
 Commission :
 Tax :
 Block Status :

Revolving Details
 Revolving : No
 Revolving In :
 Revolving Frequency :

FX Linkage
 FX Reference Number :
 Contract Currency :
 FX Linked Amount :

Preview Messages
 Language :
 Preview Advice :-

Linked Loan Details
 Loan Account :
 Loan Currency :
 Loan Amount :

Assignment of Proceeds
 AssignmentOfProceeds: No
 Assignee Name :
 Assigned Amount :

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Screen(8/10)

3.3.5.1 Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both and the LC is confirmed/ to be confirmed with the amended values.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTfPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limits and Collaterals

▼ Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	View
No data to display.										

▼

Cash Collateral Details

Collateral Percentage: %

Collateral Currency and amount: GBP

Exchange Rate: 1.0

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral Split %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response Message	View
No data to display.									


▼ Deposit Linkage Details

<input type="checkbox"/>	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available in Transaction Currency	Linkage Amount(Transaction Currency)	View
No data to display.							

Page 1 (0 of 0 items) | < | 1 | >

Limit Details

Customer Id <input type="text" value="001044"/>	Linkage Type <input type="text" value="Facility"/>
Contribution % <input type="text" value="100.0"/>	Liability Number <input type="text" value="001044"/>
Contribution Currency <input type="text" value="GBP"/>	Line Id/Linkage Ref No <input type="text" value="001044_GB"/>
Limit/Liability Currency <input type="text" value="GBP"/>	Limits Description <input type="text"/>
Limit Check Response <input type="text"/>	Amount to Earmark <input type="text" value="£1,000.00"/>
Expiry Date <input type="text"/>	Limit Available Amount <input type="text" value="£0.00"/>
Response Message <input type="text"/>	ELCM Reference Number <input type="text"/>

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.	
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> • Facility • Liability By default Linkage Type should be "Facility".	
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Liability Number	Click Search to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	Click Search to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount. <hr/> <p>Note</p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> <hr/> <p>This field is disabled and read only, if Linkage Type is Liability.</p>	

Field	Description	Sample Values
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the Liability Number	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Amount to Earmark	Amount to Earmark will be default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message. The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	
Limit Details grid	Below fields appear in the Limit Details grid along with the above fields.	
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Edit	Click the link to edit the Limit Details	
Delete icon	Click delete icon to delete the existing limit details.	

Collateral Details

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:


Collateral Details

Total Collateral Amount	Collateral Amount to be Collected
<input type="text" value="£240.00"/>	<input type="text"/>
Sequence Number	Collateral Split %
<input type="text" value="1.0"/>	<input type="text" value="100.0"/> ▼ ▲
Collateral Contribution Amount	Settlement Account
<input type="text" value="£240.00"/>	<input type="text" value="PK20010440017"/> 🔍
Settlement Account Currency	Exchange Rate
<input type="text" value="GBP"/>	<input type="text" value="1.0"/>
Contribution Amount in Account Currency	Account Available Amount
<input type="text"/>	<input type="text"/>
Response	Response Message
<input type="text" value="NA"/>	<input type="text"/>

✕ Cancel

Field	Description	Sample Values
Cash Collateral Details	Specify the cash collateral details based on following table.	
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified	
+	Click + plus icon to add new collateral details. Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.	
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	

Field	Description	Sample Values
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Collateral Amount to be Released	Read only field. This field displays the collateral amount to be Released.	
New Collateral Amount	Read only field. This field displays the new collateral amount.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.	
Settlement Account	Select the settlement account for the collateral amount.	
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.	
Response	Read only field. System populates the response on clicking the Verify button.	

Field	Description	Sample Values
Response Message	Read only field. System populates the response message on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	
Cash Collateral Details grid	Below fields appear in the Cash Collateral Details grid along with the above fields.	
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details

In this section which the deposit linkage details should be captured. System should allow the user to Link one or more existing Deposits as a contribution to secure underlying

transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.



Deposit Linkage Details

Customer Id

001044
🔍

Deposit Branch

PK2
🔍

Field	Description	Sample Values
	Click plus icon to add new Limit Details.	
Delete Icon 	Click delete icon to remove any existing Limit Details.	
Edit	Click edit link to edit the limit details.	
Deposit Currency	The currency will get defaulted in this field.	
Plus Icon 	Below fields are displayed on the Deposit Linkage Details pop-up screen, if the user clicks plus icon.	
Customer Id	Customer ID is defaulted from the system. User can change the customer ID.	
Deposit Account	All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit Account selection.	
Deposit Available Amount	The currency and amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of Deposit to be displayed.	

Field	Description	Sample Values
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available In Transaction Currency	This field displays the deposit amount available, after exchange rate conversion, if applicable.	
Linkage Percentage %	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency)	System to default the transaction amount user can change the value.	

3.3.5.2 Commission, Charge and Taxes

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

The system also default the Charges/Commission Party maintained for the customer as per defined Class Maintenance in OBTF. System simulates the Charges, Commission and Tax details from the Back office.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission, Charges and taxes

Recalculate Redefault

Commission Details

Component	Rate	Mod. Rate	Ccy	Amount	Modified	Defer	Waive	Split	Charge Party	Settl. Acct	Amend
No data to display.											

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Charge Details

Component	Tag currency	Tag Amount	Ccy	Amount	Modified	Billing	Defer	Waive	Split	Charge Party	Settl. Acct
No data to display.											

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Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acct
No data to display.							

Split Settlement

select	Component	Currency	Amount
No data to display.			

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Split Settlement Details

Sequence	Component	Amount	Percentage	Branch	Account Currency	Account	Exchange Rate	Original Exchange Rate	Party Type	Customer	AR-AP Tracking	Loan/Finance Account	Net Rat
No data to display.													

Page 1 (0 of 0 items) |< < 1 > >|

Save & Close Cancel

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Commission Details	Commission Details are auto-populated from back-end system.	
Component	This field displays the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate is changed the value gets updated in this field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Select the check box to waive charges/commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Split	The user can split the Commission by enabling/disabling the flag as per the requirement.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	
Amend	Displays if the field is amendable or not.	
Charge Details	This section displays the charge details.	
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Tag amount that is maintained under the product code.	
Currency	Defaults the currency in which the charges have to be collected.	

Field	Description	Sample Values
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Split	The bank User can split the Charges/Commission by enabling/disabling the flag as per the requirement.	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Field	Description	Sample Values
Component	This field displays the tax Component.	
Type	This field displays the type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Split Settlement

Once the user clicks on the Recalculate button to fetch the Split Settlement details from Backoffice, new section "Split Settlement" will appear below the 'Tax' section. The default parties in Split row should be fetched from OBTF.

Field	Description	Sample Values
Component	The split component type eligible for Split .	
Currency	The currency of split settlement.	
Amount	The amount of split settlement.	

Split Settlement Details

Split Settlement details section appears from Back office, when the user clicks on the Recalculate button.

The screenshot shows a 'Split Settlement Details' window with the following fields and values:

- Component: CHGTRAMND_LIQD_S01
- Amount: 50
- Customer: 001044
- Account: PK20010440017
- Branch: PK2
- Exchange Rate: 1
- Party Type: BEN
- AR-AP Tracking:
- Negotiation Rate: (empty)
- Account Currency: GBP
- Percentage: 50.00
- Original Exchange Rate: 1
- Negotiation Reference: (empty)
- Loan/Finance Account: N

Buttons at the bottom: Fetch Exchange Rate, Save & Close, Close.

Field	Description	Sample Values
Sequence	The sequence number is auto populated with the value, generated by the system.	
Component	The split component type eligible for Split.	
Amount	The system splits the respective Charge/Commission amount automatically between counter party and third party with 50% value by default. The bank user can modify the amount. More than two splits are not allowed.	
Customer	Indicates the ID of the Customer in Split Settlement Details section.	
Account	The system defaults the settlement account. User can modify the settlement account. System initiates a call to common core tables within OBT-FPM to select the account	
Account Currency	Defaults the currency of the account.	
Branch	Indicates the branch of the customer where transaction is getting processed.	

Field	Description	Sample Values
Percentage	<p>The system splits the respective Charge/Commission percentage automatically between counter party and third party with 50% value by default.</p> <p>More than two splits are not allowed.</p> <p>The bank user can modify the amount.</p> <p>The system should validate that the total percentage of each component doesn't exceed 100 and the total amount of each component doesn't exceed total component amount.</p>	
Exchange Rate	System populates the exchange rate maintained.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in split settlement details section.	
Party Type	System displays the party type in split settlement details section.	
Negotiation Reference	Specify the negotiation reference number.	
AR-AP Tracking	<p>Indicates to defer the charge/ commission in Split Settlement Details section.</p> <p>The user can modify the AR-AP Tracking flag as per the requirements.</p>	
Loan/Finance Account	Displays the loan account.	
Negotiation Rate	Specify the negotiation rate.	

3.3.5.3 Revolving Details

Field	Description	Sample Values
Revolving	Read only field. Displays the LC is revolving or non-revolving.	
Revolving In	Read only field. The LC can revolve with Time or Units.	
Revolving Frequency	Read only field. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	Read only field. This field captures the units by which the LC revolves.	
Next Reinstatement Date	Read only field. This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cumulative	Read only field. This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	Read only field. This field enables to have automatic reinstatement on the reinstatement day without manual intervention.	

3.3.5.4 FX Linkage Details

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts. FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

Following are the conditions of FX Linkage:

- If any LC Amendment is pending for beneficiary confirmation with FX changes, then the system, will not allow another amendment on this contract further.
- FX request will be processed in external system, only after successful amendment confirmation has been done.

- Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
- Delink of linked FX or Reduction of linked amount from the LC is allowed if the same is not attached to any Bills.
- Reduction of LC contract amount with FX, through LC amendment will be restricted, when the contract amount goes below the FX linkage amount. User will be intimated with the message.

FX Linkage

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1211386018	GBP	USD	£157,786.89	1.22	£100.00	£6,147.54	December 31, 2022	

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Average FX Rate

0

Save & Close Cancel

FX Linkage


FX Reference Number


PK2FXF1211386018

Contract Amount

GBP — £1,707,417

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
	<p>Click + plus icon to add new FX linkage details.</p> <p>Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.</p>	
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> ● Counterparty of the FX contract should be the counterparty of the Bill contract. ● Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Currency	This field displays the FX BOT currency from the linked FX contract.	
Contract Amount	<p>This field displays the FX BOT currency and Amount.</p> <p>The user can change the currency.</p>	
Available FX Contract Amount	<p>This field displays the available FX contract amount.</p> <p>The value is from the “Available Amount” in FXDLINKG screen in OBTR.</p> <p>Available Amount BOT currency and Amount is displayed.</p>	
Linkage Amount	<p>This field displays the amount available for linkage.</p> <p>The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone.</p> <p>The validation “Sum of Linked amount will not be greater than contract amount” or “Linkage amount will not be greater than the available amount for linkage” should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.</p>	
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	

Field	Description	Sample Values
	Click + plus icon to add new FX linkage details. Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.	
FX Amount in Local Currency	This field displays the FX amount in local currency. The value is defaulted as FX BOT currency and Amount from FXDTRONL	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Below fields appear in the FX linkage grid along with the above fields.		
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version. The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	

3.3.5.5 Assignment of Proceeds

In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.

Assignment of Proceeds
Assignee Details

Sequence	Assignee Id & Assignee Details	Assignment %	Currency	Assigned Amount	Account	Assignee Account	Account With Institution
1	003366 GATEWAY TEST	67	GBP	GBP 67.00	PK2001044C	1	

Save & Close Cancel

Field	Description	Sample Values
Assignment Paid By Importer	Slide the toggle if assignment is paid by importer.	
Sequence	User can update the sequence number.	
Assignee ID & Assignee Details	<p>User can select the assignee id. Assignee details appear based on selected assignee ID.</p> <p>User can add multiple assignees to a single Bill under LC with the assignment amount or assignment percentage of parent LC.</p> <hr/> <p>Note</p> <p>WALKIN customers is allowed as assignee.</p> <hr/>	

Field	Description	Sample Values
Assignment %	User can input the percentage of LC amount that has be assigned to the assignee. Once the user updates the rate, the system has to calculate the amount as per assigned rate and default in the assigned amount field. If the user directly captures the assigned amount then the assigned percentage has to calculate the percentage and the same to be populated in the screen. If contribution is more than 100%, system to display an alert message. Once contribution % is input system will default the amount. System to validate that Limit Contribution percentage plus Collateral percentage is equal to 100. Otherwise system to provide override.The assignment percentage should be rounded to two decimal places	
Currency	User can select the account currency of the settlement account of the assignee. Only the currencies for which the settlement account is available, only those currency will be displayed.	
Assigned Amount	User can input the amount that has to be assigned to the assignee. If the user has already entered the assignment percentage, system to calculate the amount and populate the value	
Account	Click Search to search and select the account.	
Assignee Account	Specify the assignee account	
Account with Institution	User can select the account number of the Account with Institution.	

3.3.5.6 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for amendment. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Messages	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>Clicking this button allows parsing and processing MT 707 along with the MT708 messages.</p>	
View LC	<p>Enables the user to view the latest LC values displayed in the respective fields.</p>	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Back	Task moves to the previous screen.	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.6 Summary

User can review the summary of details updated in Scrutiny Export LC Amendment request.

Log in to Oracle Banking Trade Finance Process Management (OBTfPM) system to see the summary tiles. The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

LC Amendment Scrutiny :: Application No:- ZELCA000053690

Summary

Tile Name	Fields and Values
Main Details	Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2022-04-20 Date of Expiry : 2022-12-28 Place of Expiry : LONDON
Availability Shipment	Available With : CITIZB2LXXX Available By : PAYMENT Port of Loading : NEW YORK Port of Discharge : LONDON
Payment Details	Period of Present. : 21 Confirmation Instr. : WITHOUT
Amendment Details	Click here to view Amended/Updated Details
Limits and Collaterals	Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified Deposit Linkage Currency : Deposit Linkage Amount :
Commission, Charges and taxes	Charge : Commission : Tax : Block Status : Not Initiated
Revolving Details	Revolving : N Revolving In : Revolving Frequency :
Assignment of Proceeds	Assignment of proceeds : No Assignee Name : Assigned Amount :
FX Linkage	Reference Number : PK2FXF121138... Linkage Amount : 100 Contract Currency : GBP

Buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payment Details - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of LC.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and taxes - User can view and modify commission, charges and taxes details, if required.
- Revolving Details - User can view the Revolving details.
- Assignment of Proceeds - User can view the details of assignment of proceeds.
- FX Linkage - User can view the FX linkage details.

3.3.6.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.	
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for amendment. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Messages	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>Clicking this button allows parsing and processing MT 707 along with the MT708 messages.</p>	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Request Clarification	<p>User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.</p>	
Submit	<p>Task will get moved to next logical stage of Export LC Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Back	<p>Task moves to the previous screen.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	<p>Cancel the Scrutiny stage inputs.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	

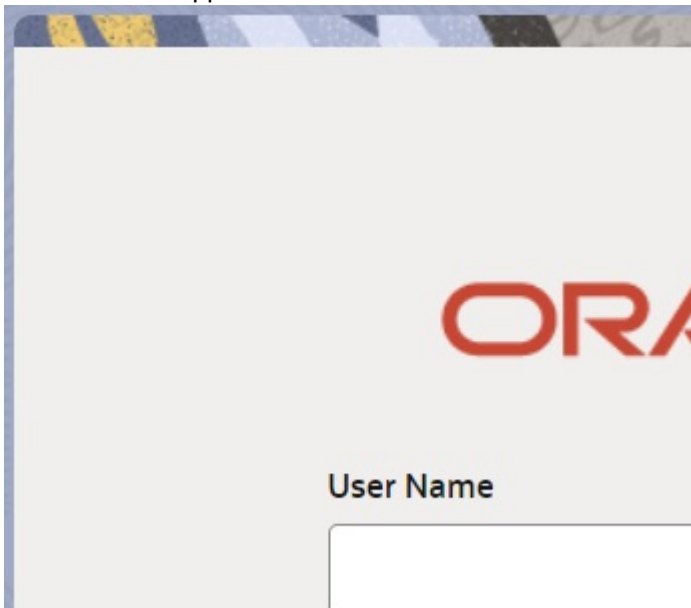
3.4 Data Enrichment

As part of Data Enrichment, user can enter/update basic details of the incoming request.

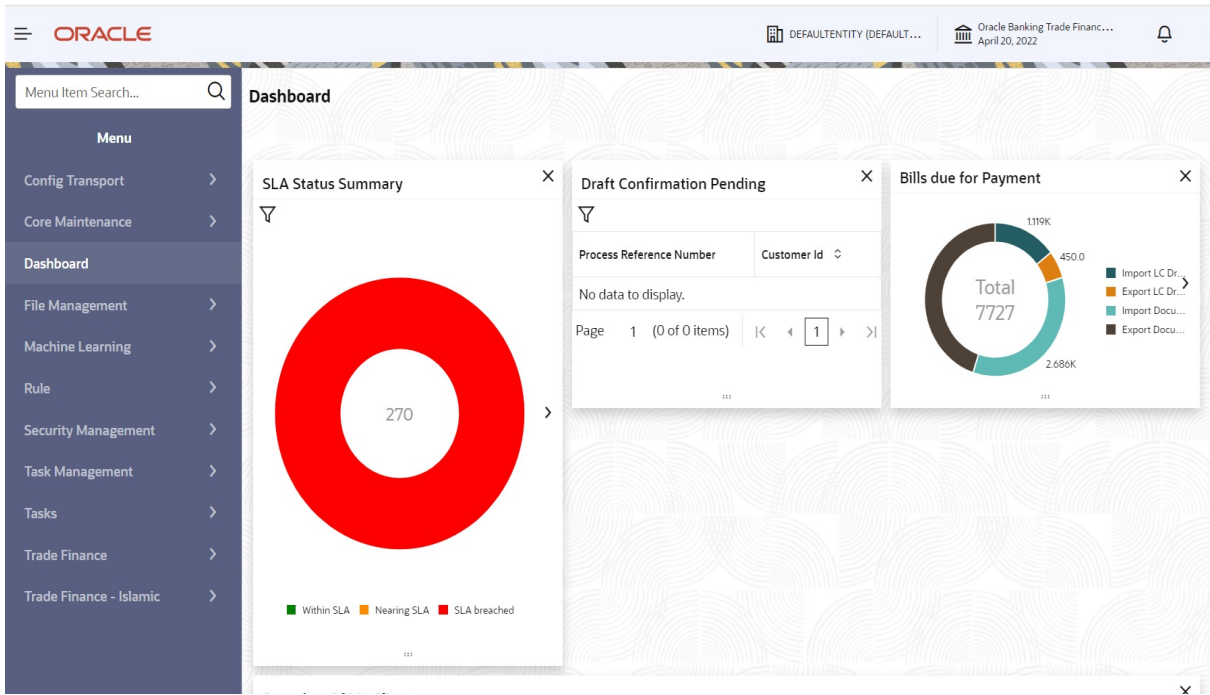
Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”. Do the following steps to acquire a task at Data Enrichment stage:

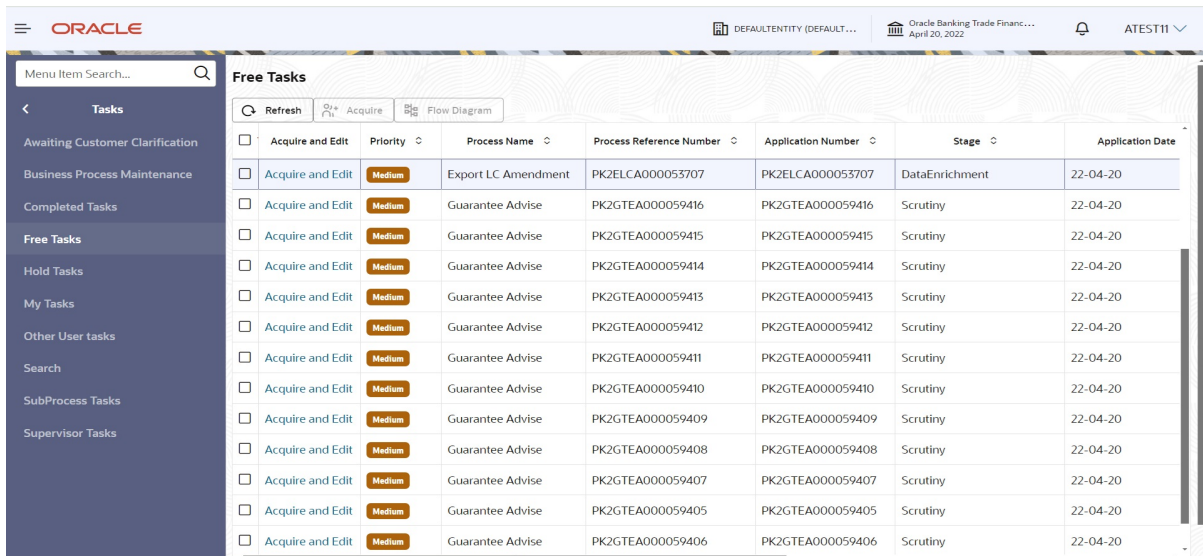
1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



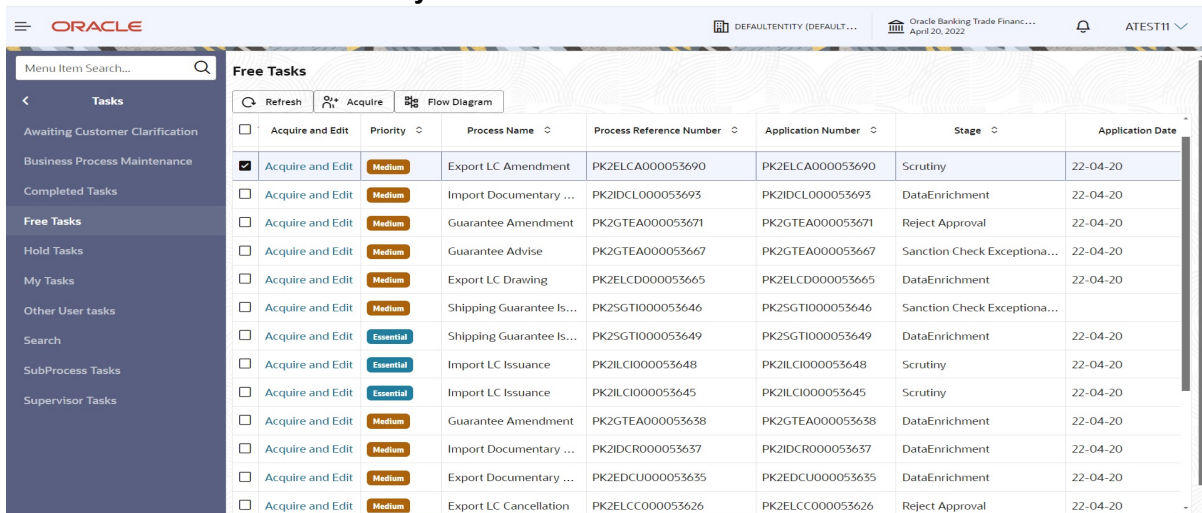
2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.



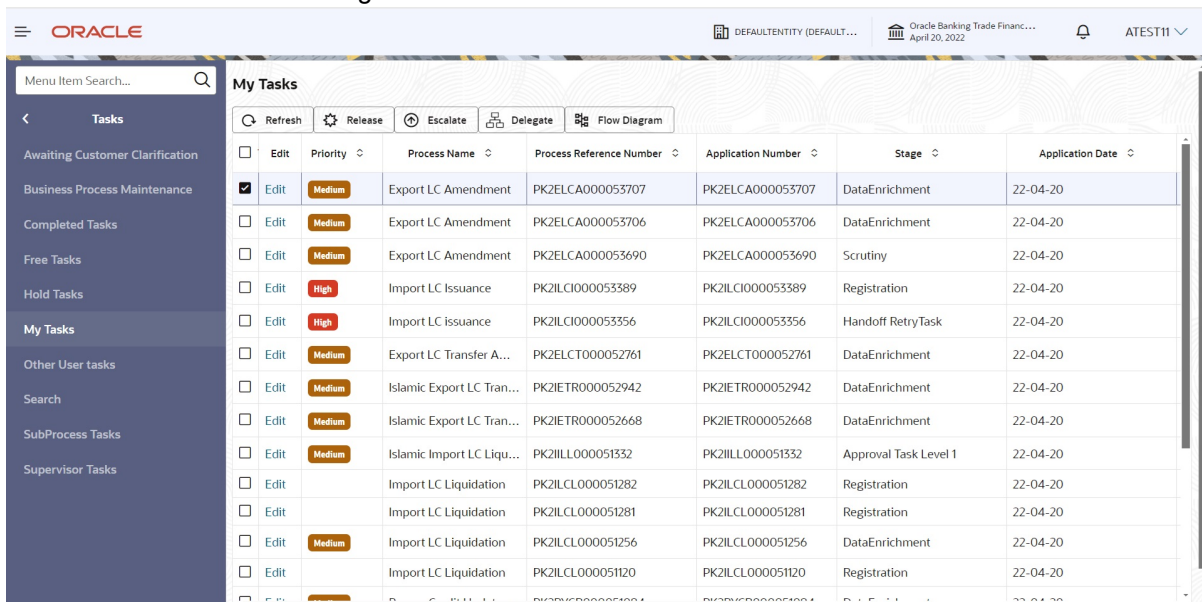
- Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.



The screenshot shows the Oracle 'Free Tasks' interface. The table lists various tasks with columns for Acquire and Edit, Priority, Process Name, Process Reference Number, Application Number, Stage, and Application Date. The first task, 'Export LC Amendment', is selected with a checkmark in the 'Acquire and Edit' column.

<input type="checkbox"/>	Acquire and Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
<input checked="" type="checkbox"/>	Acquire and Edit	Medium	Export LC Amendment	PK2ELCA000053690	PK2ELCA000053690	Scrutiny	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Import Documentary ...	PK2IDCL000053693	PK2IDCL000053693	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee Amendment	PK2GTEA000053671	PK2GTEA000053671	Reject Approval	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee Advise	PK2GTEA000053667	PK2GTEA000053667	Sanction Check Exceptiona...	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Drawing	PK2ELCD000053665	PK2ELCD000053665	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Shipping Guarantee Is...	PK2SGTI000053646	PK2SGTI000053646	Sanction Check Exceptiona...	22-04-20
<input type="checkbox"/>	Acquire and Edit	Essential	Shipping Guarantee Is...	PK2SGTI000053649	PK2SGTI000053649	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Essential	Import LC Issuance	PK2ILCI000053648	PK2ILCI000053648	Scrutiny	22-04-20
<input type="checkbox"/>	Acquire and Edit	Essential	Import LC Issuance	PK2ILCI000053645	PK2ILCI000053645	Scrutiny	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee Amendment	PK2GTEA000053638	PK2GTEA000053638	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Import Documentary ...	PK2IDCR000053637	PK2IDCR000053637	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Export Documentary ...	PK2EDCU000053635	PK2EDCU000053635	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Cancellation	PK2ELCC000053626	PK2ELCC000053626	Reject Approval	22-04-20

- The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.



The screenshot shows the Oracle 'My Tasks' interface. The table lists tasks with columns for Edit, Priority, Process Name, Process Reference Number, Application Number, Stage, and Application Date. The first task, 'Export LC Amendment', is selected with a checkmark in the 'Edit' column.

<input type="checkbox"/>	Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
<input checked="" type="checkbox"/>	Edit	Medium	Export LC Amendment	PK2ELCA000053707	PK2ELCA000053707	DataEnrichment	22-04-20
<input type="checkbox"/>	Edit	Medium	Export LC Amendment	PK2ELCA000053706	PK2ELCA000053706	DataEnrichment	22-04-20
<input type="checkbox"/>	Edit	Medium	Export LC Amendment	PK2ELCA000053690	PK2ELCA000053690	Scrutiny	22-04-20
<input type="checkbox"/>	Edit	High	Import LC Issuance	PK2ILCI000053389	PK2ILCI000053389	Registration	22-04-20
<input type="checkbox"/>	Edit	High	Import LC issuance	PK2ILCI000053356	PK2ILCI000053356	Handoff RetryTask	22-04-20
<input type="checkbox"/>	Edit	Medium	Export LC Transfer A...	PK2ELCT000052761	PK2ELCT000052761	DataEnrichment	22-04-20
<input type="checkbox"/>	Edit	Medium	Islamic Export LC Tran...	PK2IETR000052942	PK2IETR000052942	DataEnrichment	22-04-20
<input type="checkbox"/>	Edit	Medium	Islamic Export LC Tran...	PK2IETR000052668	PK2IETR000052668	DataEnrichment	22-04-20
<input type="checkbox"/>	Edit	Medium	Islamic Import LC Liqu...	PK2IILL000051332	PK2IILL000051332	Approval Task Level 1	22-04-20
<input type="checkbox"/>	Edit		Import LC Liquidation	PK2ILCL000051282	PK2ILCL000051282	Registration	22-04-20
<input type="checkbox"/>	Edit		Import LC Liquidation	PK2ILCL000051281	PK2ILCL000051281	Registration	22-04-20
<input type="checkbox"/>	Edit	Medium	Import LC Liquidation	PK2ILCL000051256	PK2ILCL000051256	DataEnrichment	22-04-20
<input type="checkbox"/>	Edit		Import LC Liquidation	PK2ILCL000051120	PK2ILCL000051120	Registration	22-04-20

The Data Enrichment stage has nine hops as follows:

- Main Details
- Availability Shipment
- Documents and Conditions
- Payment Details
- Amendment Details
- Additional Fields
- Advices
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

3.4.1 Main Details

Refer to [3.3.1 Main Details](#).

3.4.2 Availability Shipment

Refer to [3.3.2 Availability Shipment](#).

3.4.3 Documents and Conditions

User must provide details of the required documents and additional conditions (if applicable) in this section.

The screenshot displays the Oracle Export LC Amendment Data Enrichment application interface. The main title is "Export LC Amendment Data Enrichment :: Application" with a sub-header "No:- PK2ELCA000053706". The interface includes a navigation menu on the left with options like "Main Details", "Availability Shipment", "Documents and Conditions", "Payment Details", "Amendment Details", "Additional Fields", "Advices", "Additional Details", "Settlement Details", and "Summary". The "Documents and Conditions" section is active, showing a table of document details and a section for additional conditions.

Code	Document Description	Copy	Original	Clause Details	Original Doc. Required	Action
AIRDOC	Air Way	<input type="checkbox"/>		AIRDOC	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
INSDOC	Insurance	<input type="checkbox"/>		INSDOC	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
INVDOC	Invoice	<input type="checkbox"/>		INVDOC	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
MARDOC	Sea Way	<input type="checkbox"/>		MARDOC	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
OTHERDOC	OTHERDOC	<input type="checkbox"/>		OTHERDOC	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

FFT Code	FFT Description	Action
77NARRATIVE	77 Narrative for MT747	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

At the bottom of the interface, there are buttons for "Request Clarification", "Reject", "Refer", "Hold", "Cancel", "Save & Close", "Back", and "New".

3.4.3.1 Documents Details

Documents Details section displays the list of documents required as per the issued LC and can be modified if required.

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen.

Based on the 'Product' selected, Application will default the documents required under the LC. User can edit the details, delete an existing document and also add additional documents to the defaulted list.

Field	Description	Sample Values
Code	Document code is auto-populated from the latest LC. User can add multiple line of grid by clicking '+' icon. Click Search to search and select document code.	
Document Description	Description of the document is auto-populated from the latest LC. The user can change the description.	
Copy	Specify the number of duplicate copies of documents as required in LC.	
Original	Specify the number of documents in original as required in LC.	
Clause Details	System displays the clause details. User can view and edit the clause description by clicking the link.	
Original Doc. Required	System defaults the value to display whether the original document is required or not. The user can enable the option, if document is required.	
Action	Click Edit icon to edit the document details. Click Delete icon to delete the document details.	

3.4.3.2 Additional Conditions

Additional Conditions section displays the conditions of the issued LC and can be amended if required.

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line delimiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

Field	Description	Sample Values
FFT Code	This field displays the FFT code as per the latest LC. User can add multiple line of grid by clicking '+' icon. Click Search to search and select FFT code.	
FFT Description	This field displays the description of the FFT code as per the latest LC.	
Action	Click Edit icon to edit the additional conditions details. Click Delete icon to delete the additional conditions details.	

3.4.4 Payment Details

Refer to [3.3.3 Payment Details](#).

3.4.5 Amendment Details

Refer to [3.3.4 Amendment Details](#).

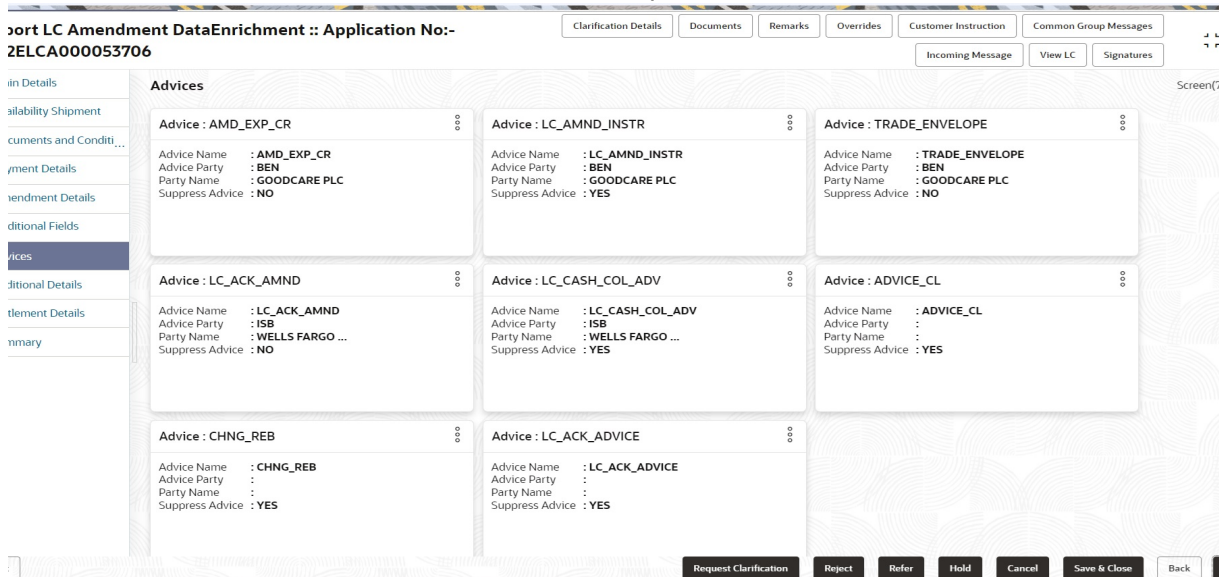
3.4.6 Additional Fields

Banks can configure these additional fields during implementation.

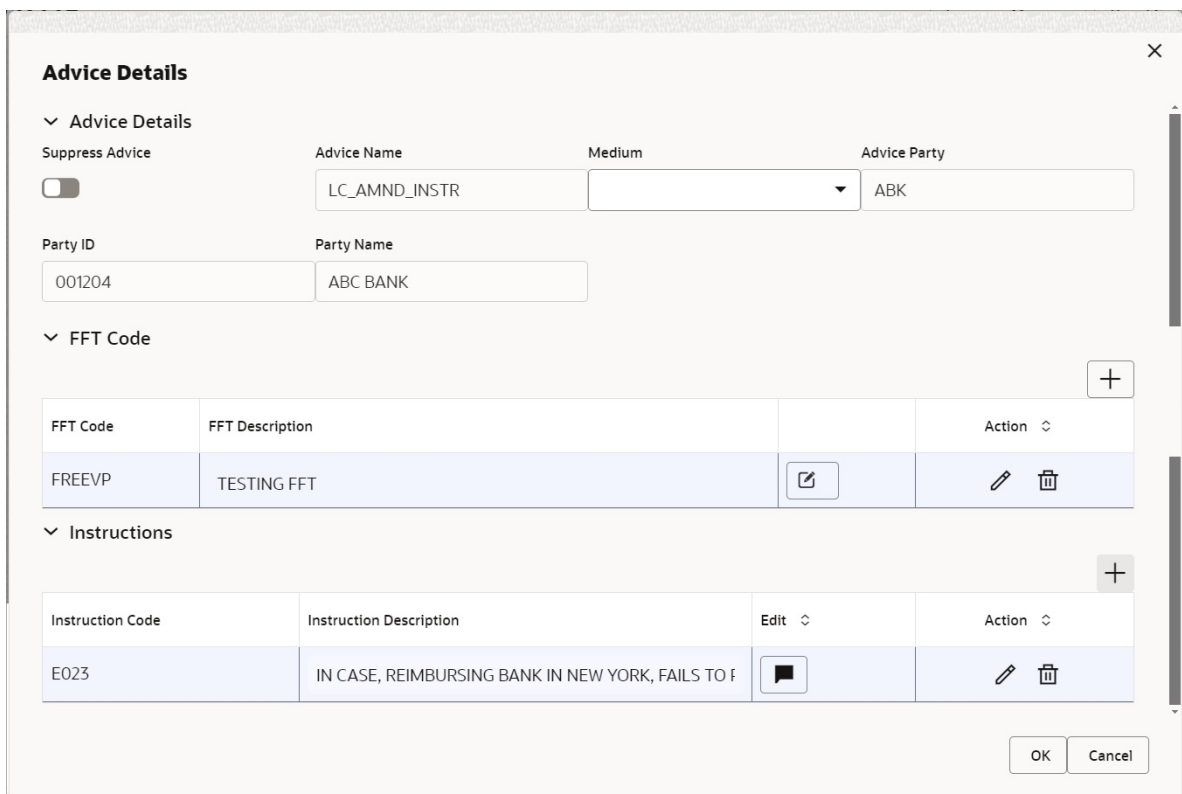
The screenshot displays the Oracle application interface for 'Export LC Amendment Data Enrichment :: Application' with application number 'PK2ELCA000053706'. The left-hand navigation menu includes options such as 'Main Details', 'Availability Shipment', 'Documents and Condit...', 'Payment Details', 'Amendment Details', 'Additional Fields' (which is currently selected), 'Advices', 'Additional Details', 'Settlement Details', and 'Summary'. The main content area is titled 'Additional Fields' and shows a grid with a single row for 'UDF' containing the value 'TEST VALUE'. The top of the interface features several tabs: 'Clarification Details', 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', 'Common Group Messages', 'Incoming Message', 'View LC', and 'Signatures'. At the bottom, there is a toolbar with buttons for 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'. The page is identified as 'Screen(6/10)'.

3.4.7 Advices





Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required.



User can suppress the advice, if required.



Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p>	

Field	Description	Sample Values
Advice Name	Read only field. This field displays the advice name defaulted from drawing LC.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Read only field. Value be defaulted from drawing LC.	
Party ID	Read only field. Value be defaulted from drawing LC.	
Party Name	Read only field. Value be defaulted from drawing LC .	
Free Format Text		
	Click plus icon to add new FFT code.	
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click edit icon to edit any existing FFT code.	
Action	Click Edit icon to edit the FFT details. Click Delete icon to delete the FFT details.	
Instruction Details		
	Click plus icon to add new instruction code.	
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the Instruction code selected.	
	Click edit icon to edit any existing Instruction code.	
Action	Click Edit icon to edit the instruction details. Click Delete icon to delete the instruction details.	

3.4.8 Additional Details

Export LC Amendment Data Enrichment :: Application
No:- PK2ELCA000053706

Clarification Details Documents Remarks Overrides Customer Instruction
Common Group Messages Incoming Message View LC Signatures

Main Details
Availability Shipment
Documents and Conditions
Payment Details
Amendment Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Additional Details Screen(8/)

Limits and Collaterals	Commission, Charges and taxes	Revolving Details
Contribution : Currency : Contribution Amount Limit Status : Collateral Currency : GBP Collateral Contr. : Collateral Status :	Charge : Commission : GBP 175.00 Tax : Block Status :	Revolving : Revolving In : No Revolving Frequency :

FX Linkage	Preview Messages	Linked Loan Details
FX Reference Number : Contract Currency : FX Linked Amount :	Language : Preview Advice : -	Loan Account : Loan Currency : Loan Amount :

Assignment of Proceeds
AssignmentOfProceeds: No Assignee Name : Assigned Amount :

Request Clarification Reject Refer Hold Cancel Save & Close Back

3.4.8.1 Limits & Collateral

Refer to [3.3.5.1 Limits & Collateral](#).

3.4.8.2 Commission, Charges and Taxes Details

Refer to [3.3.5.2 Commission, Charge and Taxes](#).

3.4.8.3 FX Linkage Details

Refer to [3.4.8.3 FX Linkage Details](#)

3.4.8.4 Revolving Details

Refer to [3.4.8.4 Revolving Details](#)

3.4.8.5 Preview Message

User can view the draft message being displayed on the preview message text box.

Preview Messages

▼ Preview - SWIFT Message

Language:

Message Type:

Message Status:

Repair Reason:

▼ Preview - Mail Advice

Language:

Advice Type:

Message Status:

Repair Reason:

Preview Message

```

-----Instance Type and Transmission-----
Original Received from Application - Outgoing Draft
Priority/Delivery : Normal
-----Message Header-----
Swift Input : FIN 730 Acknowledgement
Sender Swift address : AAEMNL21XXX
ANTHOS ASSET MANAGEMENT B.V.
AAEMNL21XXX
JACHTHAVENWEG 111
1008 AB AMSTERDAM
Receiver Swift address : PKBANK32XXX
CITIBANK
PKBANK32XXX
-----User Header-----
Message-User-Reference : 2672424580105639

```

Preview Message

```

20-APR-22 PAGE:1
GOODCARE PLC
sajani.t@oracle.com
lane no 4
London PAGE:1
OUR REFERENCE : ELAC221100046003
AMENDMENT NO : 1
RECEIVER'S REFERENCE :
ISSUING BANK : WELLS FARGO LA

```

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message type	Select the message type from the drop down. User can choose to see preview of different message like MT 700, MT 740 and MT 701.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	

Field	Description	Sample Values
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the advice.	

3.4.8.6 Assignment of Proceeds

Refer to [Assignment of Proceeds](#).

3.4.8.7 Linked Loan Details

This user can view the details of linked loan accounts.

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Drawing Reference Number	This field displays the drawing reference number of linked loan account.	
Drawing Currency	This field displays the drawing Currency of the linked loan account.	
Drawing Amount	This field displays the drawing amount of the linked loan account.	
Loan Account	This field displays the details of the linked loan account.	
Loan Currency	This field displays the Loan Currency of the linked loan account.	
Loan Amount	This field displays the Loan amount of the linked loan account.	

3.4.9 Settlement Details

Export LC Amendment DataEnrichment :: Application
 No:- PK2ELCA000053706

Clarification Details Documents Remarks Overrides Customer Instruction
 Common Group Messages Incoming Message View LC Signatures

Main Details
 Availability Shipment
 Documents and Condi...
 Payment Details
 Amendment Details
 Additional Fields
 Advices
 Additional Details
Settlement Details
 Summary

Screen(9/10)

Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
COLLAMT_OSEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMNDAMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
COLL_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AVALAMT	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AVALAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
LCADVBC_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
LCCOURAMND_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
LCEXADV_LIQD	GBP	Debit	131300001	Charges Receivable on Trade	GBP	No	No

COLL_AMT - Party Details

Transfer Type: Bank Transfer
 Charge Details: Remitter All Charges
 Netting Indicator: [Dropdown]
 Ordering Customer: [Search] Name/Account [D]

Ordering Institution: [Search] Name/Account [D]
 Senders Correspondent: [Search] Name/Account [D]
 Receivers Correspondent: [Search] Name/Account [D]
 Intermediary Institution: [Search] Name/Account [D]

Account With Institution: [Search] Name/Account [D]
 Beneficiary Institution: [Search] Name/Account [D]
 Ultimate Beneficiary: [Search] Name/Account [D]
 Intermediary Reimbursement Institution: [Search] Name/Account [D]

Receiver: 001044 [Search]

Payment Details

Sender To Receiver 1: Only /BX/XXX format is allowed
 Sender To Receiver 2: /BX/XXX or //XXX format is allowed
 Sender To Receiver 3: /BX/XXX or //XXX format is allowed
 Sender To Receiver 4: /BX/XXX or //XXX format is allowed
 Sender To Receiver 5: /BX/XXX or //XXX format is allowed
 Sender To Receiver 6: /BX/XXX or //XXX format is allowed

Remittance Information

Payment Detail 1: [Text]
 Payment Detail 2: [Text]
 Payment Detail 3: [Text]
 Payment Detail 4: [Text]

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the following field description.

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	

Field	Description	Sample Values
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System defaults the applicable Netting Indicator.	
Current Event	System defaults the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

3.4.9.1 Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

3.4.9.2 Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

3.4.9.3 Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

3.4.10 Summary

User can review the summary of details updated in Data Enrichment stage Export LC Amendment request.

Export LC Amendment Data Enrichment :: Application No:- 2ELCA000053706

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message View LC Signatures

Summary

Main Details Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2022-04-20 Date of Expiry : 2022-07-19 Place of Expiry : afsd	Availability Shipment Available With : sfaf Available By : PAYMENT Port of Loading : Port of Discharge :	Documents and Conditions Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC Document 5 : OTHERDOC
Payment Details Period of Present. : Confirmation Instr. : WITHOUT	Amendment Details Click here to view Amended/Updated Details	Additional Fields Click here to view Additional fields
Limits and Collaterals Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : Collateral Status : Not Verified Deposit Linkage Currency : Deposit Linkage Amount :	Commission, Charges and taxes Charge : GBP 175.00 Commission : Tax : Block Status : Not Initiated	Revolving Details Revolving : N Revolving In : Revolving Frequency :
Preview Messages Language : ENG Preview Message : -	Parties Details Beneficiary : GOODCARE PLC Issuing Bank : WELLS FARGO ... Applicant : PK2WALKINI	Compliance details KYC : Not Initiate... Sanctions : Not Initiate... AML : Not Initiate...
Accounting Details Event : AMND Account Number : PK2001044001... Branch : PK2	Assignment of Proceeds Assignment of proceeds : No Assignee Name : Assigned Amount :	FX Linkage Reference Number : Linkage Amount : Contract Currency :
Linked Loan Details Loan Acc : Loan Currency : Loan Amount :	Settlement Details Component : LCCOURAMND_L... Account Number : PK2001044001... Currency : GBP	

Request Clarification Reject Refer Hold Cancel Save & Close Back St

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Payment Details - User can view and modify all details related to payments, if required.

- Amendment Details - User can view the amended details of the issued LC.
- Additional Fields - User can view the details of additional fields of the issued LC.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and Taxes - User can view and modify Commission, Charges and Taxes details, if required.
- Revolving Details - User can view the Revolving details.
- Preview Messages - User can view and modify preview details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Assignment of Proceeds - User can view the details of assignment of proceeds.
- FX Linkage - User can view the FX linkage details.
- Linked Loan Details - User can view the Linked loan details.
- Settlement Details - User can view the settlement details.

3.4.10.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be ‘Clarification Requested’.	
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for amendment. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	

Field	Description	Sample Values
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Messages	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.	
Submit	Task will get moved to next logical stage of Export LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

3.5 Exceptions

The Export LC Amendment request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

3.5.1 Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

3.5.1.1 Amount Block Exception

This section will display the amount block exception details.

3.5.1.2 Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

3.5.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Amendment Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

3.5.2 Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

3.5.2.1 Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

3.5.2.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Amendment KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

3.5.3 Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check Exception approver can do the following actions:

Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

3.5.3.1 Application

Refer to [3.3.1.1 Application Details](#).

3.5.3.2 Limit/Credit Check

This section will display the amount block exception details.

3.5.3.3 Summary

The screenshot shows the 'Summary' page for a credit exception review. The application ID is 203ILCAM0017597. The page is organized into a grid of 12 summary tiles, each with an information icon (i) in the top right corner. The tiles are as follows:

- Main Details:** Form Of LC : REVOCABLE, Submission Mode : Desk, Date Of Issue : 4/13/2018, Date Of Expiry : 7/19/2018, Place Of Expiry : London.
- Party Details:** Applicant : XXX, Beneficiary : XXX, Advising Bank : XXX, Confirming Bank : XXX.
- Availability & Shipment:** Available With : YOUR SELVES, Available By : By Payment, Port Of Loading : , Port Of Discharge : Chennai.
- Payments:** Period Of Present. : , Confirmation Instr. : CONFIRM, Advise Through Bank : .
- Amendment Details:** Amount : 20000, Currency : GBP, Date Of Expiry : 7/19/2018, Place Of Expiry : London, Tolerance : .
- Documents & Condition:** Document 1 : BOL, Document 2 : MARDOC, Document 3 : INSDOC.
- Limits & Collaterals:** Limit Currency : GBP, Limit Contribution : 20000, Limit Status : Available, Collateral Currency : GBP, Collateral Contribution : 2000, Collateral Status : Success.
- Charge Details:** Charge : GBP 50, Commission : , Tax : , Block Status : Success.
- Revolving Details:** Revolving : No, Revolving In : , Revolving Frequency : .
- Preview Messages:** Confirm. Required : Yes, Confirm. Response : , Response Date : .
- Compliance:** KYC : Verified, Sanctions : Verified, AML : Verified.

At the bottom of the page, there is a row of action buttons: Reject, Hold, Refer, Cancel, Approve, Back, and a small 'N' button.

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.

- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

3.5.3.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	<p>Cancel the Export LC Amendment Limit exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

3.6 Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

3.6.1 Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Contract Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey

View Signature Documents Remarks

Currency
GBP ✓

Contract Amount
GBP £1,000.00 ✓

Refer Close Proceed

3.6.1.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
View Signatures	Click the View Signatures button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.	
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Action Buttons		
Proceed	On proceed, the screen navigates to approval summary screen.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none">● R1- Documents missing● R2- Signature Missing● R3- Input Error● R5 - Others	
Close	Close the Export LC Amendment Approval Rekey.	

3.6.1.2 Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Payment Details - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Additional Fields - User can view the details of additional fields of the issued LC.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.

- Commission, Charges and Taxes - User can view and modify Commission, Charges and Taxes details, if required.
- Revolving Details - User can view the Revolving details.
- Preview Messages - User can view and modify preview details, if required.
- Parties Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Linked Finance Details - User can view the Linked Finance details.
- Assignment of Proceeds - User can view the details of assignment of proceeds.
- Advices: User should be able to view the advice details.
- FX Linkage - User can view the FX linkage details.
- Exception (Approval) - User can view the exception (Approval) details.

3.6.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for amendment. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	

Field	Description	Sample Values
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Messages	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>Clicking this button allows parsing and processing MT 707 along with the MT708 messages.</p>	
View LC	<p>Enables the user to view the latest LC values displayed in the respective fields.</p>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

3.7 Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Amendment in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

3.7.1 Summary

Port LC Amendment
 Transaction Approval :: Application No:- PK2ELCA000017149

Main Details	Availability Shipment	Documents and Conditions	Payment Details	Amendment Details
Term of LC : IRREVOCABLE ... Submission Mode : Desk Date of Issue : 2021-05-05 Date of Expiry : 2021-08-03 Date of Expiry : xcvvv	Available With : citigbz1NNN Available By : Months Port of Loading : cxcccx Port of Discharge : cxccx	Document 1 : Document 2 :	Period of Present : 21 Confirmation Instr. : WITHOUT	Click here to view : Amended/Updated Details
Additional Fields	Limits and Collaterals	Commission, Charges and taxes	Revolving Details	Preview Messages
Click here to view : Additional Fields	Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initiated	Revolving : N Revolving In : Revolving Frequency :	Language : ENG Preview Message : -
Parties Details	Compliance details	Assignment of Proceeds	FX Linkage	
Beneficiary : GOODCARE PLC	KYC : Not Verified Sanctions : Verified AML : Verified	Assignment of proceeds: No Assignee Name : Assigned Amount :	Reference Number : Linkage Amount : Contract Currency :	

Audit Reject Hold Cancel Approve

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

3.7.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	

Field	Description	Sample Values
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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